



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>7</sup> : A61L 31/06	A1	(11) International Publication Number: WO 00/51662 (43) International Publication Date: 8 September 2000 (08.09.00)
---	----	--

(21) International Application Number: PCT/US00/05676

(22) International Filing Date: 3 March 2000 (03.03.00)

(30) Priority Data:  
60/122,827 4 March 1999 (04.03.99) US

(71) Applicant: TEPHA, INC. [US/US]; 303 Third Street, Cambridge, MA 02142 (US).

(72) Inventor: WILLIAMS, Simon, F.; 28 Deerfield Road, Sherborn, MA 01770 (US).

(74) Agents: PABST, Patrea, L. et al.; Arnall Golden &amp; Gregory, LLP, 2800 One Atlantic Center, 1201 West Peachtree Street, Atlanta, GA 30309-3450 (US).

(81) Designated States: AU, CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

## Published

*With international search report.**Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.*

(54) Title: BIOABSORBABLE, BIOCOMPATIBLE POLYMERS FOR TISSUE ENGINEERING

## (57) Abstract

Bioabsorbable biocompatible polymers which provide a good match between their properties and those of certain tissue structures are provided. The bioabsorbable biocompatible polymers can be prepared with tensile strengths, elongation to breaks, and/or tensile modulus (Young's modulus) values of the tissues of the cardiovascular, gastrointestinal, kidney and genitourinary, musculoskeletal, and nervous systems, as well as those of the oral, dental, periodontal, and skin tissues. Methods for processing the bioabsorbable biocompatible polymers into tissues engineering devices are also provided.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## **BIOABSORBABLE, BIOCOMPATIBLE POLYMERS FOR TISSUE ENGINEERING**

### **Field of the Invention**

The present invention generally relates to bioabsorbable, biocompatible polymers and methods for making devices for tissue engineering and tissue regeneration from these materials.

### **Background to the Invention**

During the last 20 to 30 years, several bioabsorbable, biocompatible polymers have been developed for use in medical devices, and approved for use by the U.S. Food and Drug Administration (FDA). These FDA approved materials include polyglycolic acid (PGA), polylactic acid (PLA), Polyglactin 910 (comprising a 9:1 ratio of glycolide per lactide unit, and known also as VICRYL™), polyglyconate (comprising a 9:1 ratio of glycolide per trimethylene carbonate unit, and known also as MAXON™), and polydioxanone (PDS). In general, these materials biodegrade *in vivo* in a matter of months, although certain more crystalline forms biodegrade more slowly. These materials have been used in orthopedic applications, wound healing applications, and extensively in sutures after processing into fibers. More recently, some of these polymers also have been used in tissue engineering applications.

Tissue engineering has emerged as a multi-disciplinary field combining biology, materials science, and surgical reconstruction, to provide living tissue products that restore, maintain, or improve tissue function. The need for this approach has arisen primarily out of a lack of donor organs and tissues, but also because it offers the promise of being able to dramatically expand the ability to repair tissues and develop improved surgical procedures.

In general, three distinct approaches currently are used to engineer new tissue. These are (1) infusion of isolated cells or cell substitutes, (2) use of tissue inducing materials and/or tissue regeneration scaffolds (sometimes

referred to as guided tissue repair), and (3) implantation of cells seeded in scaffolds (either prior to or subsequent to implantation). In the third case, the scaffolds may be configured either in a closed manner to protect the implanted cells from the body's immune system, or in an open manner so that the new cells can be incorporated into the body.

In open scaffold systems and guided tissue repair, tissue engineering devices have normally been fabricated from natural protein polymers such as collagen, or from the synthetic polymers listed above, which in both cases degrade over time and are replaced by new tissue. While some of these materials have proven to be good substrates for cell and tissue growth, and provide good scaffolding to guide and organize the regeneration of certain tissues, they often do not have the specific mechanical requirements that the scaffold needs to provide until the new tissue is developed and able to take over these functions. These materials may also be difficult to process and fabricate into the desired form, handle poorly in the operating room, be difficult to suture, and sometimes fall apart prematurely. For example, it has been reported that tissue engineered heart valve leaflet scaffolds derived from polyglactin and PGA are too stiff and cause severe pulmonary stenosis when implanted in sheep (Shinoka, et al., "New frontiers in tissue engineering: tissue engineered heart valves" in Synthetic Bioabsorbable Polymer Scaffolds (Atala & Mooney, eds.) pp.187-98 (Birkhäuser, Boston, 1997)).

Figure 1, which plots the tensile strength and elongation to break values for representative FDA approved (compression molded) bioabsorbable biocompatible polymers against these values for different tissue structures, reveals a significant mismatch between the mechanical properties of these polymers and the different tissue structures. In particular, it is apparent that the existing bioabsorbable biocompatible polymers are stiff, inelastic materials, with elongations to break of around 25%, yet many tissues are much more flexible, elastic, and have longer elongation to break values. Accordingly, the biomaterial products currently used in temporary scaffolds for regenerating human tissues do not exhibit the same multi-axial

physical and mechanical properties as native tissues, which are hierarchical, three-dimensional structures (see abstract of an award by the Advanced Technology Program to Johnson and Johnson Corporate Biomaterials Center, October 1997).

Attempts have been made to develop new bioabsorbable biocompatible polymers with more flexible, elastomeric properties. One approach has been to incorporate lactide or glycolide and caprolactone joined by a lysine-based diisocyanate into a polyurethane (Lamba, *et al.*, "Degradation of polyurethanes" in Polyurethanes in Biomedical Applications, pp.199-200 (CRC Press LLC, Boca Raton, Florida, 1998). However, these crosslinked polyurethane networks cannot be processed by standard techniques such as solution casting or melt processing, limiting their usefulness. There is also no evidence that the polyurethane segments are completely biodegraded *in vivo*. A commercial material, known as TONE™, has also been evaluated as an elastomeric implant material. However, this material degrades *in vivo* very slowly, and therefore has limited application (Perrin, *et al.*, "Polycaprolactone" in Handbook of Bioabsorbable Polymers (Domb, *et al.*, eds.) pp.63-76 (Harwood, Amsterdam, 1997)). Another approach has been to synthesize protein-based polymers, particularly polymers containing elastomeric polypeptide sequences (Wong, *et al.*, "Synthesis and properties of bioabsorbable polymers used as synthetic matrices for tissue engineering" in Synthetic Bioabsorbable Polymer Scaffolds (Atala & Mooney, eds.) pp.51-82 (Birkhäuser, Boston, 1997). However, these materials are not reported to biodegrade *in vivo*, although cells can invade matrices derived from these materials. They also lack the advantages of thermoplastic polymers in fabrication of devices.

U.S. Patents No. 5,468,253 and No. 5,713,920, both to Bezwada *et al.*, disclose bioabsorbable elastomeric materials which are used to form devices that, based on *in vitro* data, are alleged to completely bioabsorb within one year or six months. However, deGroot *et al.*, *Biomaterials*, 18:613-22 (1997) provides *in vivo* data for these materials and reports that

the implanted material fragmented after 56 weeks into white crystalline-like fragments. It is suspected that these fragments are crystalline poly-L-lactide, which is very slow to degrade. Nonetheless, whatever the composition of the fragments, the material is not completely bioabsorbed after one year *in vivo*. These materials also typically are difficult to process and may have poor shelf stability.

Thus, while the current bioabsorbable biocompatible polymers offer a range of useful properties for certain medical applications, it is desirable to develop methods to prepare bioabsorbable biocompatible polymers that significantly extend the range of properties available. It would thus be desirable to develop methods for preparing bioabsorbable biocompatible polymers with mechanical properties closer to those of tissue, particularly soft tissues. It would also be desirable to develop methods for making bioabsorbable biocompatible materials which can be readily processed, and fabricated into tissue engineering devices that can be easily implanted.

It is therefore an object of this invention to provide methods for preparing bioabsorbable biocompatible polymers with mechanical properties that provide a better match with those of tissue structures.

It is a further object of this invention to provide new compositions with mechanical properties that provide a better match with those of tissue structures.

It is another object of this invention to provide methods for fabricating devices from these compositions.

### **Summary of the Invention**

Bioabsorbable biocompatible polymers are selected based on their physical and/or mechanical properties to correspond to the physical properties of tissues to be regenerated or constructed. Physical properties include elasticity, strength, flexibility, and processability. These properties can be measured by determining factors such as tensile strength, elongation or extension to break, and Youngs modulus. In a preferred embodiment, the polymers have an extension to break over 25%, tensile strength less than

10,000 psi, Young's modulus less than 100,000 psi, glass transition temperature less than 20 °C, and melting temperature less than 190 °C. In one embodiment, the bioabsorbable biocompatible polymers can be prepared with tensile strengths equivalent to the tensile strengths of the tissues of the cardiovascular, gastrointestinal, kidney and genitourinary, musculoskeletal, and nervous systems, as well as those of the oral, dental, periodontal, and skin tissues. In another embodiment, the bioabsorbable biocompatible polymers can be prepared with elongations to break equivalent to the elongations to break of the same tissues. In still another embodiment, the bioabsorbable biocompatible polymers can be prepared with tensile modulus (Young's modulus) values equivalent to these tissues.

Methods for processing the bioabsorbable biocompatible polymers into tissue engineering devices are also described.

#### **Brief Description of the Drawings**

Figure 1 is a graph comparing the mechanical properties of PGA, PLA, polyglactin, polyglyconate, and polydioxanone with those of different tissue structures.

Figure 2 is a graph comparing the mechanical properties of bioabsorbable polymers described herein with the mechanical properties of different tissues or tissue structures.

#### **Detailed Description of the Invention**

Polymers are provided which are bioabsorbable, biocompatible, and have mechanical properties similar to the physical and/or mechanical properties of tissue structures, including stress, strain, stress-strain, stress-strain hysteresis, stress-strain relaxation, viscoelasticity, contraction stress, resting stress, Young's modulus, tensile strength, durability, yield point, failure strength, toughness, ductility, softness, hardness, creep, elastic deformation, wear resistance, shear failure, roughness, compressive strength, load capacity, modulus of elasticity, ultimate compressive strength, yield strength, stress-strain relationship, scratch resistance, abrasion resistance, flexural modulus, shear modulus, contact angle, surface tension, adhesive

strength, surface free energy, bending strength, shear strength, bonding strength, bending strength, bending stiffness, compressive modulus, bending modulus, fracture toughness, elongation, fiber strength, fiber modulus, fiber elongation, thermal expansion coefficient, fracture toughness, static and dynamic elasticity, longitudinal stretch, stress, and strain, radial stretch, stress and strain, circumferential stretch, stress and strain, ultimate elongation, viscosity, expansion, static and kinetic coefficients of friction, plasticity, axial tension, shock absorbance, bearing strength, formability, rigidity, stress rupture, bend radius, impact strength, and fatigue strength.. In a preferred embodiment, the polymers have elongations to break of more than 25%, and/or tensile modulus values less than 500,000 psi. In another preferred embodiment, the polymers are fabricated into medical devices using standard polymer processing techniques, and used as tissue engineering devices to provide living tissue products that restore, maintain, or improve tissue function, for example, in the cardiovascular, gastrointestinal, kidney and genitourinary, musculoskeletal, and nervous systems, as well as those of the oral, dental, periodontal, and skin tissues.

### I. Polymers

The polymers described herein may be prepared by synthetic or natural methods. However, the method must provide the desired polymer in a form sufficiently pure for use as an implantable material. The polymer should not contain any undesirable residues or impurities which could elicit an undesirable response either *in vitro* in the case of a cell-seeded construct or *in vivo*.

The polymers may be prepared from any combination of monomeric units. These units must, however, be capable of biodegrading *in vivo* to non-toxic compounds, which can optionally be excreted or further metabolized. The combination of units in the polymer must also be biocompatible, and not elicit an undesirable biological response upon implantation. The polymer may be biodegraded *in vivo* by any means, including hydrolysis, enzymatic attack, a cell-mediated process, or by any other biologically mediated



process. It is considered desirable for tissue engineering applications that the polymer scaffold serve as a transitional construct, and thus be fully degraded once the new tissue is able to take over the function of the scaffold. Since the rates at which different new tissues are likely to be able to assume their new function will vary, it is desirable to have polymers with a range of degradation rates as well as a range of different properties. Generally, however, preferred polymers will degrade in a matter of weeks to months, preferably less than one year, rather than several years.

The mechanical properties of the polymer are designed to meet the needs of the particular tissue engineering application. Thus, according to the method described herein for preparing bioabsorbable biocompatible polymers, the monomeric units can be selected to provide upon combination of the correct ratios of these monomeric units the desired property or property set. If necessary, the monomeric units may be combined in a specific order as in, for example, a block copolymer, or alternatively they can be assembled in a random manner. They may also be prepared with different molecular weights to achieve the correct performance.

In a preferred method as described herein, the monomeric units are hydroxy acids, and the polymers are polyesters. The distance between the hydroxy group and the acid group can be small or large, however, monomers are preferably 2-, 3-, 4-, 5-, or 6-hydroxy acids. The hydroxy acids may optionally contain other functional groups and be substituted at any position, including heteroatoms between the hydroxy and acid groups. These hydroxy acids may be polymerized either using synthetic methods or preferably using biological methods. In the latter case, the hydroxy acids may be derived *in vivo* from a non-hydroxy acid source.

Suitable methods for preparing the polyesters are described in Williams, S.F. and Peoples, O.P. *CHEMTECH*, 26:38-44 (1996), Williams, S.F. and Peoples, O.P., *Chem. Br.*, 33:29-32 (1997), U.S. Patent No. 4,910,145 to Holmes, P.A. and Lim, G.B.; Byrom, D., "Miscellaneous Biomaterials," in D. Byrom, Ed., "Biomaterials" MacMillan Publishers,

London, 1991, pp. 333-59; Hocking, P.J. and Marchessault, R.H. "Biopolyesters", G.J.L. Griffin, Ed., "Chemistry and Technology of Bioabsorbable Polymers," Chapman and Hall, London, 1994, pp.48-96; Holmes, P.A., "Biologically Produced (R)-3-hydroxyalkanoate Polymers and Copolymers," in D.C. Bassett Ed., "Developments in Crystalline Polymers," Elsevier, London, Vol. 2, 1988, pp. 1-65; Lafferty *et al.*, "Microbial Production of Poly- $\beta$ -hydroxybutyric acid," H.J. Rehm and G. Reed, Eds., "Biotechnology", Verlagsgesellschaft, Weinheim, Vol. 66, 1988, pp. 135-76; Müller and Seebach, *Angew. Chem. Int. Ed. Engl.* 32:477-502 (1993); Steinbüchel, A. "Polyhydroxyalkanoic Acids," in D. Byrom Ed., "Biomaterials", MacMillan Publishers, London, 1991, pp. 123-213; Steinbüchel and Wiese, *Appl. Microbiol. Biotechnol.*, 37:691-697 (1992); U.S. Patent Nos. 5,245,023; 5,250,430; 5,480,794; 5,512,669; 5,534,432; Agostini, D.E. *et al.*, *Polym. Sci.*, Part A-1, 9:2775-87 (1971); Gross, R.A. *et al.*, *Macromolecules*, 21:2657-68 (1988); Dubois, P.I. *et al.*, *Macromolecules*, 26:4407-12 (1993); Le Borgne, A. and Spassky, N., *Polymer*, 30:2312-19 (1989); Tanahashi, N. and Doi, Y., *Macromolecules*, 24:5732-33 (1991); Hori, Y.M. *et al.*, *Macromolecules*, 26:4388-90 (1993); Kemnitzer, J.E. *et al.*, *Macromolecules*, 26:1221-1229 (1993); Hori, Y.M. *et al.*, *Macromolecules*, 26:5533-34 (1993); Hocking, P.J. and Marchessault, R.H., *Polym. Bull.*, 30:163-70 (1993); Xie, W. *et al.*, *Macromolecules*, 30:6997-98 (1997), U.S. Pat. No. 5,563,239 to Hubbs, J.C. and Harrison, M.N., and Braunegg, G. *et al.*, *J. Biotechnol.* 65:127-61 (1998), and Madison & Huisman, *Microb. Mol. Biol. Rev.* 63:21-53 (1999).

In another preferred method described herein, the bioabsorbable biocompatible polymers are polyesters including one or more linkages in the main polymer chain which are not ester linkages. These linkages should be susceptible to cleavage *in vivo*. Suitable non-ester linkages may include amides, urethanes, carbonates, iminocarbonates, oxalates, oxamates, orthoesters, anhydrides, phosphazenes, glycosides, and ethers. Incorporation of such chemistries can be used to alter biodegradation rates, tailor

mechanical, surface, or other properties of the polymer, improve processibility and handling of the materials, and/or to provide methods for attachment of other compounds to the polymers.

The bioabsorbable biocompatible polymers described herein may optionally be further modified either prior to or subsequent to fabrication. Representative modifications include derivatization, surface treatments, coatings, coupling of other compounds particularly biologically active agents.

## **II. Mechanical Properties and Polymer Compositions**

The bioabsorbable biocompatible polymers described herein may be prepared with mechanical properties that resemble those of tissue. These properties are achieved by preparing the polymers with different compositions and ratios of monomeric constituents. For example, polymers with tensile strengths near or equal to that of tendon and dentin can be prepared by polymerizing 4-hydroxybutyric acid. By incorporating R-3-hydroxybutyric acid with 4-hydroxybutyric acid into the same polymer as a random copolymer, it is possible to prepare a material with a tensile strength near or equal to that of cortical bone. Using combinations of R-3-hydroxyoctanoate and R-3-hydroxyhexanoate, it is possible to prepare a copolymer with a tensile strength near or equal to that of skin and enamel. Other monomers may be incorporated to increase or decrease the tensile strengths of the bioabsorbable biocompatible polymers.

The elongation to break of the bioabsorbable biocompatible polymers may also be controlled and tailored to those of tissue in a similar manner. For example, the homopolymer of R-3-hydroxybutyric acid has an elongation to break of around 5%, close to tendon. This elongation to break may be progressively increased to values for cartilage, cardiac muscle, cardiovascular tissues, skin, aorta, urological tissue, in fact virtually any tissue, by incorporating a co-monomer, 4-hydroxybutyric acid, with R-3-hydroxybutyric acid into a copolymer. A copolymer comprising 3-8% 4-hydroxybutyric acid polymerized with 3-hydroxybutyric acid has an

extension to break of 45% to over 100%, which are similar values to those of cardiac muscle, skin, urological and cardiovascular tissues including blood vessels and heart valves.

In the same manner, it is also possible to prepare bioabsorbable biocompatible polymers described herein with a range of tensile modulus values (Youngs modulus) that match those of tissue structures. For example, depending upon the age of the person, skin has a tensile modulus value ranging from about 2,000 psi for young children to around 18,000 psi for older people. According to the method described herein, it is possible to produce a copolymer of R-3-hydroxyoctanoic acid and R-3-hydroxyhexanoic acid with a Youngs modulus value of around 1,000-2,000 psi, and a copolymer of R-3-hydroxybutyric acid and 4-hydroxybutyric acid with a Youngs modulus ranging from 3,000 psi to 22,000 psi as the percentage of 4-hydroxybutyric acid is increased from 78% to 100%. Other compositions can be used for applications requiring higher Youngs modulus values. For example, the homopolymer of R-3-hydroxybutyric acid has a Youngs modulus value of around 500,000 psi. Thus, by using combinations of different hydroxy acid monomers, it is possible to prepare bioabsorbable biocompatible polymers with a wide range of Youngs modulus values that encompass different tissue structures.

By using a similar approach of combining appropriate monomer units, bioabsorbable biocompatible polymers can be produced that have other desirable mechanical properties and even desirable barrier properties that provide a good compliance match with tissue. Examples of other mechanical properties which can be prepared according to the method described herein include, but are not limited to, compressive strength, hardness, burst strength, impact strength, toughness, as well as other viscoelastic elastic properties. Examples of desirable barrier properties include water and fluid barrier properties, moisture vapor barrier properties, and gas barrier properties.

In some embodiments, it may be desirable to produce a bioabsorbable biocompatible polymer with two or more mechanical properties providing a

good compliance match with a specific tissue structure. For example; tendon has a tensile strength of around 6,000 psi and an elongation to break of 10%. According to the method described herein, a bioabsorbable biocompatible polymer can be produced comprising 10% R-3-hydroxypentanoic acid and R-3-hydroxybutyric acid, with approximately the same tensile strength of about 6,000 psi and an extension to break of 10% as tendon. Similarly, other combinations of one, two, or more monomeric units can be used to provide bioabsorbable biocompatible polymers with two or more of the desired mechanical properties of a particular tissue structure.

### **III. Fabrication of Bioabsorbable Biocompatible Devices**

The bioabsorbable biocompatible polymer compositions are useful for preparing a variety of medical devices. Examples of applications of such devices include tissue engineering scaffold, guided tissue repair material, wound dressing, drug delivery vehicle, anti-adhesion material, cell encapsulation material, coating, implant, stent, orthopaedic device, prosthetic, adhesives, diagnostics, sutures, surgical meshes, staples, meniscus repair and regeneration devices, screws (interference screws and meniscal screws), bone plates and plating systems, cardiovascular patches, pericardial patches, slings, pins, anti-adhesion barriers, articular cartilage repair devices, nerve guides, tendon and ligament repair devices, atrial septal defect patches, bulking and filling agents, vein valves, bone marrow scaffolds, bone graft scaffolds, skin substitutes, dural substitutes, ocular implants, spinal fusion cages, and muscular implants (cardiac and skeletal). These materials may be used alone, with additives or in combinations with themselves or other materials. Additives and other materials may include those components added for the purpose of further modification of a particular property or properties, and/or those components which are biologically active such as cell attachment factors, growth factors, peptides, antibodies and their fragments.

In general, a key advantage described herein is that the bioabsorbable biocompatible polymers can be processed using conventional polymer

processing techniques. Many of the materials are thermoplastics, and are thus amenable to standard methods for processing such materials. Such methods are well known to those skilled in the art, and include such methods as melt processing, solvent processing, leaching, foaming, extrusion, injection molding, compression molding, blow molding, spray drying, extrusion coating, spinning of fibers and subsequent processing into woven or non-woven constructs.

A preferred fabricated form of the compositions is a porous (fibrous) construct, particularly ones which can be used as tissue engineering scaffolds, and guided tissue repair meshes and matrices. This construct or matrix may be derived by any suitable method, including salt leaching, sublimation, solvent evaporation, spray drying, foaming, processing of the materials into fibers and subsequent processing into woven or non-woven devices. Such constructs can be used in tissue engineering applications of the tissues of the cardiovascular, gastrointestinal, kidney and genitourinary, musculoskeletal, and nervous systems, as well as those of the oral, dental, periodontal, and skin tissues. Examples of such constructs can be used to prepare tissue engineering scaffolds for both hard and soft tissues.

Representative tissue types include, but are not limited to, cardiovascular (including blood vessel, artery, and heart valve), cornea and other ocular tissues, pancreas, alimentary tract (e.g., esophagus and intestine), ureter, bladder, skin, cartilage, dental, gingival tissue, bone, liver, kidney, genital organs (including penis, urethra, vagina, uterus, clitoris, and testis), nerve, spinal cord, meniscus, pericardium, muscle (e.g., skeletal), tendon, ligament, trachea, phalanges and small joints, fetal, and breast.

A further advantage of some of the compositions described herein is their ability to be sterilized by radiation sources, in addition to ethylene oxide. Moreover, certain compositions described herein have the additional advantage of good shelf stability, resistance to hydrolysis by water and moisture, and thus less restrictive packaging needs to exclude moisture after preparation, fabrication, and during storage.

Another advantage to using the compositions described herein is the ability to create three dimensional polymer scaffold systems with properties in different regions. This can be achieved by combining the compositions described herein either in different forms, or combining different compositions to make one or more forms. For example, a specific composition may be processed into a fibrous form, and then subsequently processed and combined with another different fibrous or non-fibrous composition. Such combinations may be achieved by weaving, melt processing, solvent processing, coating, and other methods known to those skilled in the art.

Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, many equivalents to the specific embodiments of the invention described herein.

I claim:

1. A composition or device for use in tissue engineering comprising a bioabsorbable biocompatible polymer comprising polyhydroxyalkanoate, wherein the polymer has one or more mechanical properties selected from the group consisting of stress, strain, stress-strain, stress-strain hysteresis, stress-strain relaxation, viscoelasticity, contraction stress, resting stress, Young's modulus, tensile strength, durability, yield point, failure strength, toughness, ductility, softness, hardness, creep, elastic deformation, wear resistance, shear failure, roughness, compressive strength, load capacity, modulus of elasticity, ultimate compressive strength, yield strength, stress-strain relationship, scratch resistance, abrasion resistance, flexural modulus, shear modulus, contact angle, surface tension, adhesive strength, surface free energy, bending strength, shear strength, bonding strength, bending strength, bending stiffness, compressive modulus, bending modulus, fracture toughness, elongation, fiber strength, fiber modulus, fiber elongation, thermal expansion coefficient, fracture toughness, static and dynamic elasticity, longitudinal stretch, stress, and strain, radial stretch, stress and strain, circumferential stretch, stress and strain, ultimate elongation, viscosity, expansion, static and kinetic coefficients of friction, plasticity, axial tension, shock absorbance, bearing strength, formability, rigidity, stress rupture, bend radius, impact strength, and fatigue strength, equivalent to the same properties of a differentiated tissue or tissue structure, wherein the polymer comprises polyhydroxyalkanoate.

2. The composition of claim 1 wherein the polymer degrades *in vivo* in less than one year.

3. The composition of claim 1 wherein the polymer has an extension to break of over 25%.

4. The composition of claim 3 wherein the polymer is in the form of a fiber and the extension to break is over 45%.

5. The composition of claim 1 wherein the polymer has a tensile strength less than 10,000 psi.



6. The polymer of claim 5 wherein the polymer is in the form of a fiber and the tensile strength is less than 50,000 psi.

7. The composition of claim 1 wherein the polymer has a Youngs modulus of less than 100,000 psi.

8. The polymer of claim 7 wherein the polymer is in the form of a fiber and the Youngs modulus is less than 200,000 psi.

9. The composition of claim 1 wherein the polymer has a melting temperature less than 190 °C.

10. The composition of claim 1 wherein the polymer has a glass transition temperature less than 20 °C.

11. The composition of claim 1 wherein the polymer has two or more properties selected from the group consisting of extension to break over 25%, tensile strength less than 10,000 psi, Youngs modulus less than 100,000 psi, glass transition less than 20 °C, and melting temperature less than 190 °C.

12. The composition of claim 1 wherein the tissue is selected from the group consisting of cardiovascular, gastrointestinal, kidney, genitourinary, musculoskeletal, nervous, oral, breast, periodontal, and skin.

13. The composition of claim 1 wherein the mechanical property is selected from the group consisting of tensile strength, Youngs modulus, elongation to break, hardness, compressive strength, burst strength, toughness, and impact strength.

14. The composition of claim 1 wherein the tissue is cartilage and the polymer has a tensile strength of 435 psi  $\pm$ 25%.

15. The composition of claim 1 wherein the tissue is skin and the polymer has a tensile strength of 1,100 psi  $\pm$ 25%.

16. The composition of claim 1 wherein the tissue is tendon and the polymer has a tensile strength of 7,700 psi  $\pm$ 25%.

17. The composition of claim 1 wherein the tissue is aorta and the polymer has a tensile strength of 160 psi  $\pm$ 25%.

18. The composition of claim 1 wherein the tissue is cardiac muscle and the polymer has a tensile strength of 16 psi  $\pm$ 25%.

19. The composition of claim 1 wherein the tissue is bone and a polymer has a tensile strength of  $10,000 \text{ psi} \pm 25\%$ .
20. The composition of claim 1 wherein the tissue is enamel and the polymer has a tensile strength of  $1,600 \text{ psi} \pm 25\%$ .
21. The composition of claim 1 wherein the tissue is skin and the polymer has an ultimate elongation of  $78\% \pm 25\%$ .
22. The composition of claim 1 wherein the tissue is tendon and the polymer has an ultimate elongation of  $10\% \pm 25\%$ .
23. The composition of claim 1 wherein the tissue is cartilage and polymer has an ultimate elongation of  $30\% \pm 25\%$ .
24. The composition of claim 1 wherein the tissue is heart and the polymer has an ultimate elongation of  $10\text{-}15\% \pm 25\%$ .
25. The composition of claim 1 wherein the tissue is aorta and the polymer has an ultimate elongation in the transverse and longitudinal directions of  $77\text{-}81\% \pm 25\%$ .
26. The composition of claim 1 wherein the tissue is skin and the polymer has a Young's modulus of  $2,000\text{-}18,000 \text{ psi} \pm 25\%$ .
27. A device comprising a bioabsorbable biocompatible polymer with one of more mechanical properties equivalent to a specific tissue or tissue structure, wherein the device is selected from the group consisting of a tissue engineering scaffold, guided tissue repair material, wound dressing, drug delivery vehicle, anti-adhesion material, cell encapsulation material, coating, implant, stent, orthopaedic device, prosthetic, adhesive, diagnostic, sutures, surgical meshes, staples, meniscus repair and regeneration devices, screws (interference screws and meniscal screws), bone plates and plating systems, cardiovascular patches, pericardial patches, slings, pins, anti-adhesion barriers, articular cartilage repair devices, nerve guides, tendon and ligament repair devices, atrial septal defect patches, bulking and filling agents, vein valves, bone marrow scaffolds, bone graft scaffolds, skin substitutes, dural substitutes, ocular implants, spinal fusion cages, and muscular implants (cardiac and skeletal).

28. The device of claim 27 wherein the device is a tissue engineering scaffold or matrix.

29. The device of claim 28 wherein the polymer degrades *in vivo* in less than two years.

30. The device of claim 28 wherein the tissue engineering scaffold which has different properties in different regions.

31. The device of claim 28 wherein the scaffold or matrix is flexible.

32. The device of claim 28 wherein the tissue is heart valve or blood vessel.

33. The device of claim 28 wherein the tissue engineering scaffold or matrix is for tissue engineering of musculoskeletal tissue.

34. The device of claim 28 wherein the tissue is selected from the group consisting of cartilage, tendon, ligament, and bone.

35. The device of claim 28 wherein the tissue engineering scaffold or matrix is for tissue engineering of genitourinary tissue.

36. The device of claim 28 wherein the tissue forms a structure selected from the group consisting of bladder, ureter, and urethra.

37. The device of claim 28 for tissue engineering of gingiva.

38. The device of claim 28 seeded with cells for implantation.

39. The device of claim 28 further comprising materials selected from the group consisting of other polymers, compounds, additives, biologically active substances, growth factors, cell attachment factors, and drugs.

40. A method for producing a bioabsorbable biocompatible polymer composition comprising:

selecting a tissue structure and measuring one or more mechanical properties selected from the group consisting of stress, strain, stress-strain, stress-strain hysteresis, stress-strain relaxation, viscoelasticity, contraction stress, resting stress, Young's modulus, tensile strength, durability, yield point, failure strength, toughness, ductility, softness, hardness, creep, elastic deformation, wear resistance, shear failure, roughness, compressive strength,

load capacity, modulus of elasticity, ultimate compressive strength, yield strength, stress-strain relationship, scratch resistance, abrasion resistance, flexural modulus, shear modulus, contact angle, surface tension, adhesive strength, surface free energy, bending strength, shear strength, bonding strength, bending strength, bending stiffness, compressive modulus, bending modulus, fracture toughness, elongation, fiber strength, fiber modulus, fiber elongation, thermal expansion coefficient, fracture toughness, static and dynamic elasticity, longitudinal stretch, stress, and strain, radial stretch, stress and strain, circumferential stretch, stress and strain, ultimate elongation, viscosity, expansion, static and kinetic coefficients of friction, plasticity, axial tension, shock absorbance, bearing strength, formability, rigidity, stress rupture, bend radius, impact strength, and fatigue strength, equivalent to the same properties of a differentiated tissue or tissue structure, and

selecting from a combination of monomers that can be polymerized to make a polymer, one or more monomers which when linked in a polymeric form, have the mechanical property or properties of the tissue or tissue structure.

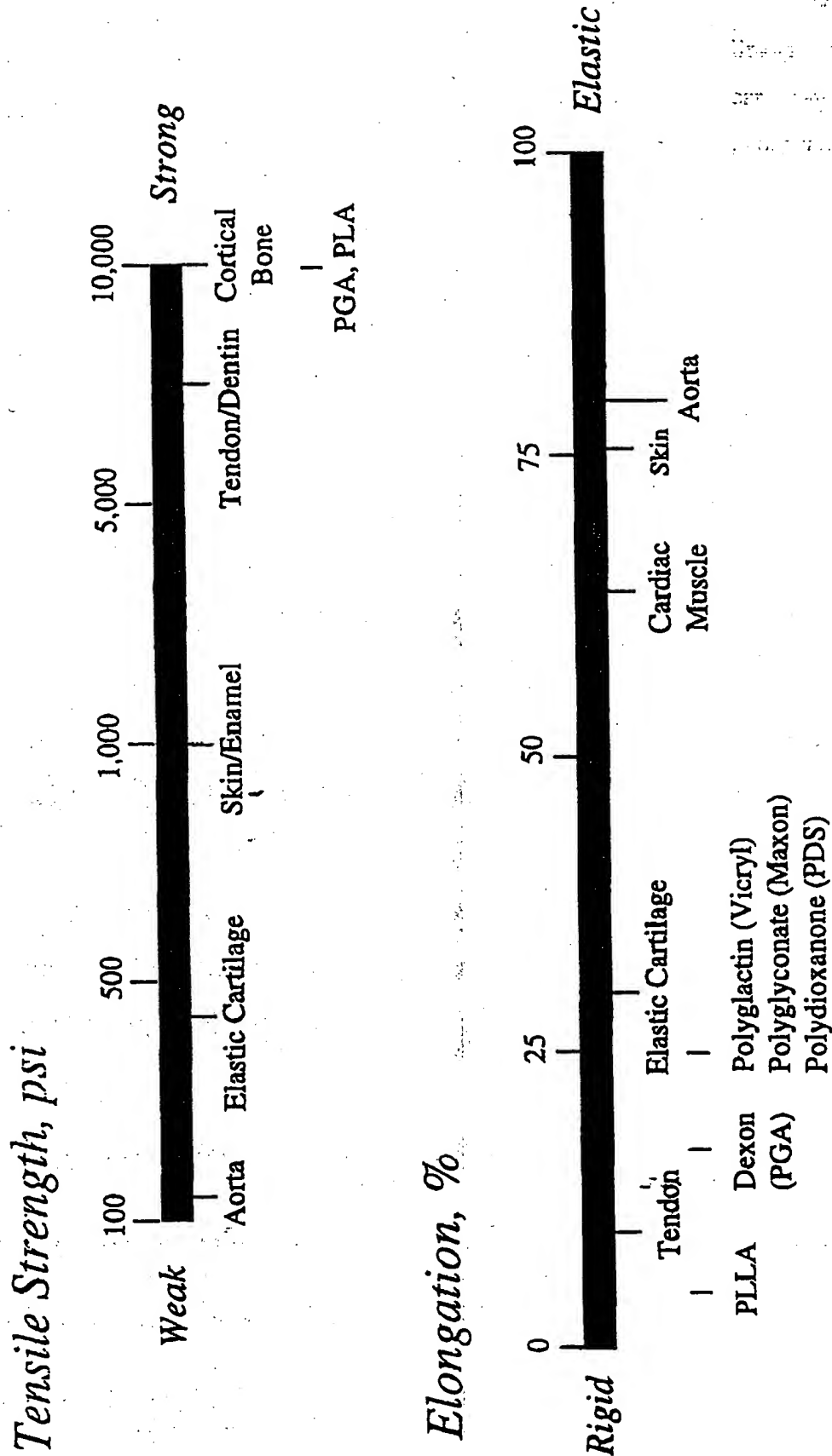
41. The method of claim 40 wherein the tissue structure is selected from the group consisting of cardiovascular structures including heart valves and blood vessels, gastrointestinal structures, kidney, genitourinary structures including bladder, ureter, and urethra, musculoskeletal structures including bone, cartilage, tendon, and ligament, nervous system structures, oral tissues, periodontal tissues, and skin tissue.

42. The method of claim 40 wherein the monomers are selected from hydroxy acids.

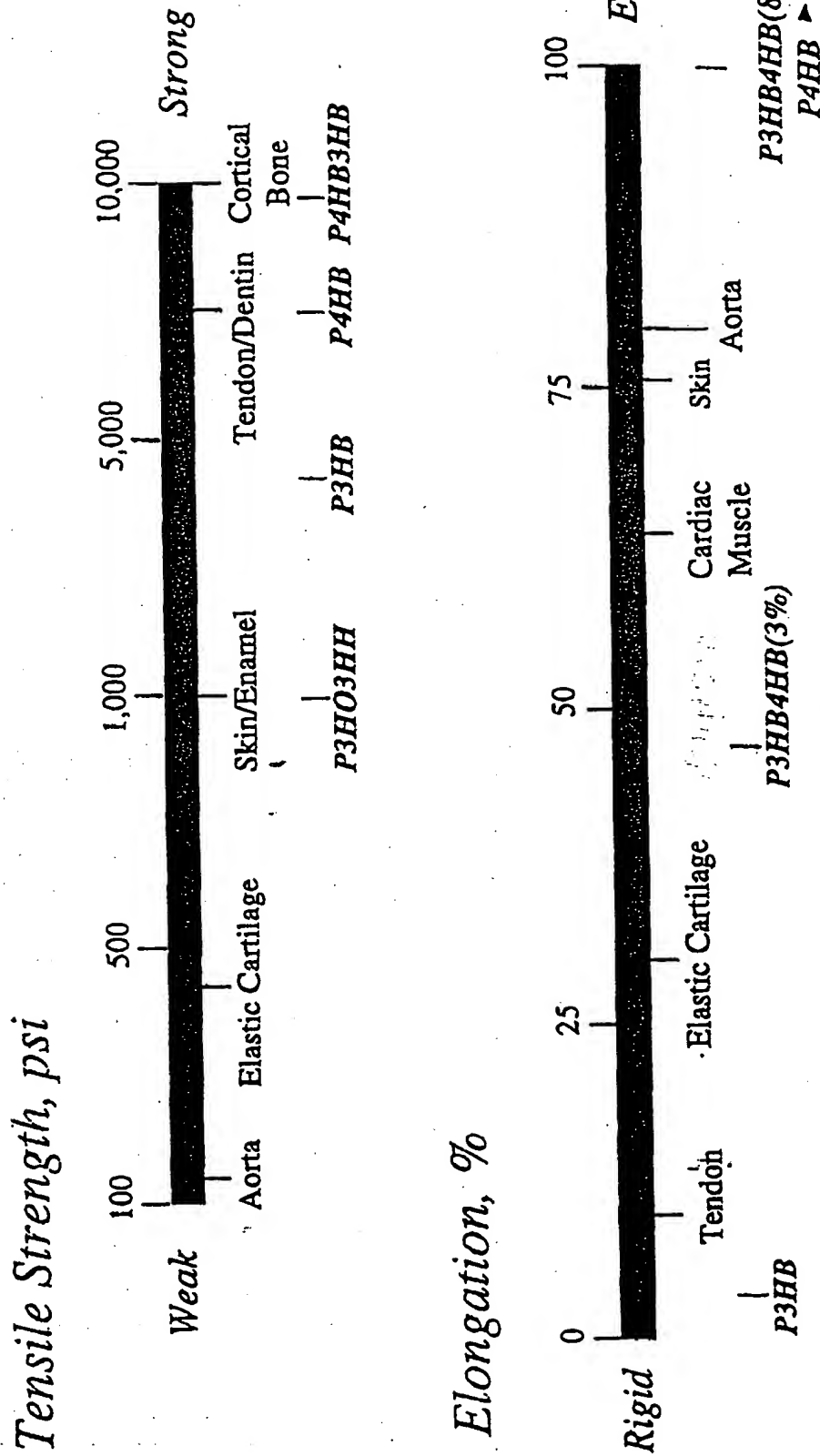
43. The method of claim 40 wherein the polymer is selected from the group consisting of polyester, poly(orthoester), polyanhydride, polyphosphazene, polyesteramide, polypeptide, polyamide, polydihydropyran, and polycyanoacrylate.

44. The method of claim 40 wherein the polymer contains one or more linkages selected from the group consisting of ester, amide, urethane, carbonate, iminocarbonate, oxalate, oxamate, orthoester, anhydride, phosphazene, glycoside, and ether linkages.

Figure 1: Mechanical Properties of tissue vs. FDA approved polymers



*Figure 2: Mechanical Properties of representative biodegradable biocompatible polymers of the invention vs. tissue*



P3HB: poly-R-3-hydroxybutyrate; P4HB: poly-4-hydroxybutyrate; P3HB4HB poly-R-3-hydroxybutyrate-co-4-hydroxybutyrate;  
 P3HO3HH: poly-R-3-hydroxyoctanoate-co-3-hydroxyhexanoate.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/05676

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61L31/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61L C08L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, FSTA, INSPEC, COMPENDEX, CHEM ABS Data, MEDLINE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 51812 A (METABOLIX INC) 19 November 1998 (1998-11-19) page 8, line 9 -page 9, line 32 page 23, line 18 -page 26, line 21 examples 1,9	1-44
X	PATENT ABSTRACTS OF JAPAN vol. 1996, no. 02, 29 February 1996 (1996-02-29) & JP 07 275344 A (NIPPON ZEON CO LTD), 24 October 1995 (1995-10-24) abstract	1-34, 39-44
X	EP 0 754 467 A (ASTRA AB) 22 January 1997 (1997-01-22) abstract column 2, line 10 - line 42 column 3, line 46 -column 4, line 5	1-34, 39-44
-/--		



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

### \* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

29 June 2000

Date of mailing of the international search report

11/07/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Menidjel, R



# INTERNATIONAL SEARCH REPORT

Int. onal Application No

PCT/US 00/05676

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>EP 0 628 586 A (TERUMO CORP) 14 December 1994 (1994-12-14)</p> <p>abstract page 2, line 55 -page 3, line 30 page 3, line 53 -page 5, line 14 -----</p>	<p>1-5, 7-11, 40, 42-44</p>

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

## Continuation of Box I.2

Present claims 27-44 relate to a product/method defined by reference to a desirable characteristic or property, namely a method for producing a bioabsorbable biocompatible polymer composition comprising selecting a tissue structure and measuring one or more mechanical properties equivalent to the same properties of a differentiated tissue or tissue structure and selecting from a combination of monomers that can be polymerized to make a polymer with the mechanical property or properties of the tissue or tissue structure.

The claims cover all products/methods having this characteristic or property, whereas the application provides support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT for only a very limited number of such products/compounds/methods/apparatus. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Independent of the above reasoning, the claims also lack clarity (Article 6 PCT). An attempt is made to define the product/method by reference to a result to be achieved. Again, this lack of clarity in the present case is such as to render a meaningful search over the whole of the claimed scope impossible. Consequently, the search has been carried out for those parts of the claims which appear to be clear, supported and disclosed, namely those parts relating to the products/methods described in the description from page 9 paragraph 2 to page 11 paragraph 1.

It corresponds to the copolymers of R-3-hydroxybutyric acid with 4-hydroxybutyric acid, R-3-hydroxyoctanoic acid with R-3-hydroxyhexanoic acid, R-3-hydroxypentanoic acid with R-3-hydroxybutyric acid, R-3-hydroxyoctanoate with R-3-hydroxyhexanoate.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/05676

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9851812 A	19-11-1998	AU 7486798 A EP 0981381 A	08-12-1998 01-03-2000
JP 07275344 A	24-10-1995	NONE	
EP 0754467 A	22-01-1997	AT 171076 T AU 636570 B AU 3843889 A DE 68928817 D DE 68928817 T DK 46690 A EP 0349505 A ES 2121741 T FI 963506 A IL 90714 A JP 2842649 B JP 3500139 T KR 131012 B NO 302999 B WO 9000067 A US 5641505 A ZA 8904694 A	15-10-1998 06-05-1993 23-01-1990 22-10-1998 08-04-1999 22-02-1990 03-01-1990 16-12-1998 06-09-1996 24-06-1994 06-01-1999 17-01-1991 14-04-1998 18-05-1998 11-01-1990 24-06-1997 28-03-1990
EP 0628586 A	14-12-1994	JP 6345950 A DE 69414640 D DE 69414640 T US 5516565 A	20-12-1994 24-12-1998 06-05-1999 14-05-1996

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☒ **FADED TEXT OR DRAWING**
- ☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**